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Attorney Docket: S00-178

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number: 10/029402
Filing Date: 12/19/01
Applicants: Byong-Ho Park et al.
Application Title: Shape Memory Alloy Actuators Activated by Strain
Gradient Variation During Phase Transformation
Art Unit: Not assigned

Certificate of Mailing by "Regular Mail"

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SIGNATURE

DATE OF MAILING: 2/4/2002


NAME OF PERSON SIGNING

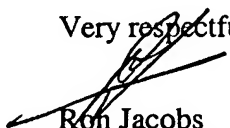
SUBMISSION OF FORMAL SPECIFICATION

Initial Patent Examination Division
Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

A formal specification is submitted herewith. The claims of the formal specification commences on a separate sheet. The contents of the formal specification is identical to the specification as filed without new matters.

Very respectfully,


Ron Jacobs
(Reg. No. 50,142)



Shape memory Alloy Actuators Activated by Strain
Gradient Variation During Phase Transformation

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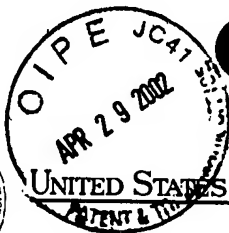
Attorney Docket: 12/15/01

500-178

Received today:

1. Transmittal Form

2. Form & Specification & cover letter



Lumen 3/1/02

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Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,402	12/19/2001	Byong-Ho Park	S00-178

CONFIRMATION NO. 2083

FORMALITIES LETTER



OC000000007515789

MAREK ALBOSZTA
LUMEN INTELLECTUAL PROPERTY SERVICES
SUITE 110
45 CABOT AVENUE
SANTA CLARA, CA 95051

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$588.
 - \$504 for 28 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1458.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

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370.00
42.00
252.00
65.00
11 FC:201
12 FC:202
13 FC:203
14 FC:205



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